IFW



PATENT Customer No. 22,852 Attorney Docket No. 6720.0104-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Application of: |) |
|---|--------------------------|
| Chun Chian LU |) Group Art Unit: 2631 |
| Application No.: 10/639,144 |) Examiner: Unknown |
| Filed: August 11, 2003 |) |
| For: PERTURBATION AND EQUALIZATION METHOD AND SYSTEM FOR MULTI-USER DETECTION |) Confirmation No.: 7607 |

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed documents are attached.

Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed

documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicant determines that the cited documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the U.S. Patent and Trademark Office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: June 21, 2004

y: Willin (). Brog figth 43,515

for Richard V. Burgujian

Reg. No. 31,744



| Atty. Docket No. | 6720 BAREMARE | Appln. No. 10 | /639,144 | |
|------------------|-----------------|---------------|-------------------|--|
| Applicant | Chun Chian LU | | | |
| Filing Date | August 11, 2003 | Group: 2631 | Examiner: Unknown | |

| | U.S. PATENT DOCUMENTS | | | | | |
|----------------------|-----------------------|------------|------------------|-------|--------------|----------------------------|
| Examiner Initial* | Document Number | Issue Date | Name | Class | Sub Class | Filing Date If Appropriate |
| <u> </u> | 5,719,899 | 2/1998 | Thielecke et al. | | | |
| | 5,790,606 | 8/1998 | Dent | | | |
| _ . | 5,905,721 | 5/1999 | Liu et al. | | | |
| | 5,933,457 | 8/1999 | Hottinen | | | |
| | 5,940,742 | 8/1999 | Dent | | | |
| | 6,088,593 | 7/2000 | Dent | | | |
| | 6,148,041 | 11/2000 | Dent | | | |
| | 6,161,209 | 12/2000 | Moher | | | |
| | 6,396,457 | 5/2002 | Gatherer et al. | | | |

| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | |
|---|--|--|--|--|
| J. G. Proakis, "Digital Communications," Ch. 6, pp. 519-701, (2d ed., 1989) | | | | |
| S. Verdu, "Minimum probability of error for asynchronous Gaussian multiple-access channels," IEEE Trans. Information Theory, Vol. IT-32, no. 1, pp 85-96, January 1986. | | | | |
| M. Honig et al., "Blind adaptive multi-user detection," IEEE Trans. Information Theory, Vol. 41, no.4, pp 944-960, July 1995. | | | | |
| H. Liu et al., "A decorrelating RAKE receiver for CDMA communication over frequency-selective fading channels," IEEE Trans. On Communications, Vol. 47, No. 7, pp. 1036-1045, July 1999. | | | | |
| D. Divsalar et al., "Improved Parallel interference Cancellation for CDMA," IEEE Trans. Commun., vol. 46, no. 2, pp. 258-268, February 1998. | | | | |
| Q. Sun et al., "Effect of channel estimation error on a new pipelined multi-stage PIC detector for CDMA," Proceedings of IEEE 7 th Int. Symposium on Spread Spectrum Tech. and Appl., Prague, Czech Republic, pp. 628-632, September 2002. | | | | |
| A. Klein et al., "Zero forcing and minimum mean-square-error-equalization for multi-user detection in code-division multiple-access channels," IEEE Trans. on Vehicular Tech., vol. 45, no. 2, pp. 276-287, May 1996. | | | | |
| X. Wang et al., "Blind equalization and multiuser detection in dispersive CDMA channels," IEEE Trans. on Communications, vol. 46, no. 1, pp. 91-103, January 1998. | | | | |
| X. Wang et al., "Blind multiuser detection: a subspace approach," IEEE Trans. on Inform. Theory, vol. 44, no. 2, pp. 677-690, March 1998. | | | | |
| C. C. Lu, "Perturbation principle of multi-user detection," Computer and Communications Research Laboratory, ITRI, 2001. | | | | |

INFORMATION DISCLOSURE CITATION

| Atty. Docket No. | 6720.0104 | 2 1 2004 | Appln. No. | 10/639,144 |
|------------------|-----------------|-----------|-------------|-------------------|
| Applicant | Chun Chian LU | Jun 2 | - | |
| Filing Date | August 11, 2003 | PRADEMARK | Group: 2631 | Examiner: Unknown |

| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | | |
|--|--|--|--|
| C. C. Lu, "Peturbation method of interference cancellation in multi-path CDMA systems," Proceedings of IEEE 7 th Symposium on Spread Spectrum Techniques and Applications, Prague, Czech Republic, pp. 278-282, September 2002. | | | |
| J. Mathews et al., "Mathematical Methods of Physics," Ch. 6 (pp. 134-161) and Ch. 10 (pp. 273-284), W. A. Benjamin, Inc., New York, 1965. | | | |
| C. C. Lu, "Interference Cancellation in Multi-Path CDMA Systems," Computer and Communication Research Laboratory, ITRI, IEEE, pps 1083-1086, 2002. | | | |
| C. C. Lu, "Interference Cancellation in Multi-Cell CDMA Systems," Computer and Communication Research Laboratory, ITRI, IEEE, 5 pages, 2002. | | | |

| Examiner | | Date Considered |
|-------------|-----|---|
| *Examiner: | | ce considered, whether or not citation is in conformance with MPEP 609; draw line if not in conformance and not considered. Include copy of this form with next to applicant. |
| Form PTO 14 | 149 | Patent and Trademark Office - U.S. Department of Commerce |